

#2

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
36861-00002

US PTO  
JC828 10/04/05

02/12/02

In Re Application Of:

**Brian Yolles**

Serial No.

TBA

Filing Date

February 11, 2002

Examiner

TBA

Group Art Unit

TBA

Title:

**METHOD AND SYSTEM FOR INSURING AGAINST INVESTMENT LOSS**

Address to:

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

#2

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:
  1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,whichever occurs first.

Also submitted herewith is:

a certification as specified in 37 CFR 1.97(e);

**OR**

the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
36861-00002

US PTO  
10/10/2002  
JC828  
02/12/02

In Re Application Of:

Brian Yolles

Serial No.

TBA

Filing Date

February 11, 2002

Examiner

TBA

Group Art Unit

TBA

Title:

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

A check in the amount of \_\_\_\_\_ is attached.

The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 13-3250 as described below. A duplicate copy of this sheet is enclosed.

Charge the amount of \_\_\_\_\_

Credit any overpayment.

Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax No.

) on \_\_\_\_\_  
(Date)

Signature

Typed or Printed Name of Person Signing Certificate

\*This certificate may only be used if paying by deposit account.

Signature

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on 02/11/02 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Hildere Jean-Louis

Typed or Printed Name of Person Mailing Correspondence

Dated: February 11, 2002

Chris L. Holm, Esq.  
Registration No. 39,227  
Milbank, Tweed, Hadley & McCloy LLP  
1 Chase Manhattan Plaza  
New York, NY 10005-1413

CC:

INFORMATION DISCLOSURE CITATION  
(Use several sheets if necessary)

Docket Number (Optional)

36861-00007

Application Number

TBA

Applicant(s)

Brian Yolles

Filing Date

Group Art Unit

TBA

U.S. PRO  
JC828 1074659  
10/07/2007

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1	5,704,045	12/30/1997	King et al.			
	2	6,134,536	10/17/2000	Shepherd			
	3	5,970,479	10/19/1999	Shepherd			
	4	6,113,493	09/05/2000	Walker et al.			
	5	6,119,093	09/12/2000	Walker et al.			
	6	6,128,598	10/03/2000	Walker et al.			
	7	5,884,274	03/16/1999	Walker et al.			
	8	6,064,985	05/16/2000	Anderson			
	9	6,049,772	04/11/2000	Payne et al.			
	10	5,974,390	10/26/1999	Ross			
	11	5,966,700	10/12/1999	Gould et al.			

FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.